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# **TRANSMITTAL FORM**

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(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| Application Number     | 10/041,845       |  |  |  |
|------------------------|------------------|--|--|--|
| Filing Dat             | October 18, 2001 |  |  |  |
| First Named Inventor   | Levine, Fred     |  |  |  |
| Group Art Unit         | 1636             |  |  |  |
| Examiner Name          | Not Assigned     |  |  |  |
| Attorney Docket Number | 022070 110010118 |  |  |  |

| ENCLOSURES (check all that apply)  |              |                                     |   |  |  |      |  |
|--|--------------|-------------------------------------|---|--|--|------|--|
| Fee Transmittal Form   |              | Assignment Pap                      | pers  | After Allowance Communication to Group                                 |  |      |  |
| Fee Attached   |              | Drawing(s)                          |   | . —  | l Communication to Board<br>ils and Interferences      | d of |  |
| Amendment / Respon   | se           | Licensing-related Papers            |   |  | I Communication to Group I Notice, Brief, Reply Brief) | Þ    |  |
| After Final  |              | Petition Routing and Accompany      | Slip (PTO/SB/69)<br>ring Petition           | Proprie  | etary Information                                      |      |  |
| Affidavits/declarat  | tion(s)      | Petition to Conv<br>Provisional App |   | Status   | Letter   |      |  |
| Extension of Time Rec  | quest        | Power of Attorne<br>Change of Corre | ey, Revocation<br>espondence Address        |  | Enclosure(s) identify below):                          |      |  |
| Express Abandonmen   | nt Request   | Terminal Disclaimer                 |   | Return Postcard  |  |      |  |
|  |              | Request for Ref                     | und   | Declaration  | า  |      |  |
| Information Disclosure Statement   |              | CD, Number of CD(s)                 |   | 5 drawings<br>Copy of Notice to File Missing Parts                     |  |      |  |
| Certified Copy of Prior Document(s)  | rity         | Remarks                             | The Commissioner is a Deposit Account 20-14 | sioner is authorized to charge any additional fees to<br>bunt 20-1430. |  |      |  |
| Response to Missing I  |              |                                     | ı   |  |  |      |  |
| Response to Miss<br>Parts under 37 CF<br>1.52 or 1.53  |              |                                     |   |  |  |      |  |
|  | SIGNA        | TURE OF APPLICA                     | ANT, ATTORNEY, O                            | RAGENT   |  |      |  |
| Firm To  |              | vnsend and Crew LLF                 |   |  |  |      |  |
| and Matthew E. Hinsch Reg. No. 47,651  |              |                                     |   |  |  |      |  |
| Signature  |              |                                     |   |  |  |      |  |
| Date April 17, 2002  |              |                                     |   |  |  |      |  |
| CERTIFICATE OF MAILING   |              |                                     |   |  |  |      |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:  April 17, 2002 |              |                                     |   |  |  |      |  |
| Typed or printed name  | Joy M. Marsh | all                                 |   |  |  |      |  |
| Signature  | .,           | W W/11                              | 1: 1  | Date   | April 17, 2002   |      |  |

Burden Hour StatemRMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SF 1337542 v1

PTO/SB/17 (09-00)
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| FEE TO A MONITTIES                          | Complete if Known    |                  |  |  |  |  |
|---|----------------------|------------------|--|--|--|--|
| FEE IRANSMIL AE                             | Application Number   | 10/041,845       |  |  |  |  |
| FEE TRANSMITTAL for FY 2001                 | Filing Date          | October 18, 2001 |  |  |  |  |
| MAY 0 3 2005                                | First Named Inventor | Levine, Fred     |  |  |  |  |
| Patent fees are subject to annual givision. | Examiner Name        | Not Assigned     |  |  |  |  |
| Patent fees are subject to annual graision. | Group Art Unit       | 1636             |  |  |  |  |
| TOTAL AMOUNT OF PAYMENT (\$) 65             | Attorney Docket No.  | 02307O-110910US  |  |  |  |  |

| METHOD OF PAYMENT   |                             |   |                         | FEE CALCULATION (continued) |               |                      |               |  |  |
|---|-----------------------------|---|-------------------------|-----------------------------|---------------|----------------------|---------------|--|--|
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: |                             |   | DITIONAL                |                             |               |                      |               |  |  |
| Deposit   |                             |   |                         | Large<br>Fee                | Entity<br>Fee | Small<br>Fee<br>Code | Entity<br>Fee | Fee Description  | Fee<br>Paid                                      |
| Account   | 20-1430                     |   |                         | Code<br>105                 | (\$)<br>130   | 205                  | (\$)<br>65    | Surcharge - late filing fee or oath  | 65   |
| Number  |                             |   |                         | 127                         | 50            | 227                  | 25            | Surcharge - late provisional filing fee or cover sheet.                          |  |
| Deposit   |                             |   |                         | 139                         | 130           | 139                  | 130           | Non-English specification  |  |
| Account<br>Name   | Townsend an                 | nd Townsend and C                       | rew LLP                 | 147                         | 2.520         | 147                  | 2.520         | For filing a request for reexamination   | <del>                                     </del> |
|   | y Additional Fee            | Paguirod                                |                         | 112                         | 920*          | 112                  | 920*          | Requesting publication of SIR prior to   |  |
| Under 37  | CFR 1.16 and 1.             | 17                                      |                         |                             |               |                      |               | Examiner action  |  |
| Applicant See 37 C  | daims small enti<br>FR 1.27 | ty status.                              |                         | 113                         | 1,840*        | 113                  | 1,840*        | Requesting publication of SIR after<br>Examiner action                           |  |
| 2. Payme  | nt Enclosed:                |   |                         | 115                         | 110           | 215                  | 55            | Extension for reply within first month   |  |
| ☐ Check   | ☐ Credit card               |   | ☐ Other                 | 116                         | 400           | 216                  | 200           | Extension for reply within second month  |  |
|   |                             | Order                                   |                         | 117                         | 920           | 217                  | 460           | Extension for reply within third month   |  |
| 1. BASIC FII  |                             | ALCULATION                              |                         | 118                         | 1,440         | 218                  | 720           | Extension for reply within fourth month  |  |
| Large Entity  |                             |   |                         | 128                         | 1,960         | 228                  | 980           | Extension for reply within fifth month   |  |
|   | Fee Fee                     | Fee Description                         |                         | 119                         | 320           | 219                  | 160           | Notice of Appeal   |  |
|   | Code (\$)                   | •                                       | Fee Paid                | 120                         | 320           | 220                  | 160           | Filing a brief in support of an appeal   |  |
| 101 740   | 201 370                     | Utility filing fee                      |                         | 121                         | 280           | 221                  | 140           | Request for oral hearing   |  |
|   | 206 165<br>207 255          | Design filing fee<br>Plant filing fee   |                         | 138                         | 1,510         | 138                  | 1,510         | Petition to institute a public use proceeding                                    |  |
|   | 208 370                     | Reissue filing fee                      |                         | 140                         | 110           | 240                  | 55            | Petition to revive - unavoidable   |  |
|   | 214 80                      | Provisional filing fe                   | e                       | 141                         | 1,280         | 241                  | 640           | Petition to revive – unintentional   |  |
|   |                             |   |                         | 142                         | 1,280         | 242                  | 640           | Utility Issue fee (or reissue)   |  |
|   | SUBTOTA                     | LL (1)                                  | (\$)                    | 143                         | 460           | 243                  | 230           | Design issue fee   |  |
| 2. EXTRA CLAIR  | FEEC                        | - · · · · · · · · · · · · · · · · · · · |                         | 144                         | 620           | 244                  | 310           | Plant issue fee  |  |
| Z. EXTRACLAIR   | FEES                        | Extra Fee 1                             | from Fee                | 122                         | 130           | 122                  | 130           | Petitions to the Commissioner  |  |
| Total Claims  | □-20 <b>** =</b> [          | Claims below                            |                         | 123                         | 50            | 123                  | 50            | Petitions related to provisional applications                                    |  |
| Independent<br>Claims   |                             | x                                       |                         | 126                         | 180           | 126                  | 180           | Submission of Information Disclosure Stmt  |  |
| L<br>Multiple<br>Dependent  |                             | x                                       |                         | 581                         | 40            | 581                  | 40            | Recording each patent assignment<br>per property (times number of<br>properties) |  |
| Large Entity<br>Fee Fee   | Small Entir                 |   |                         | 146                         | 740           | 246                  | 370           | Filing a submission after final rejection (37 CFR § 1.129(a))                    |  |
| Code (\$)<br>103 18   | Code (\$)<br>203 9          | Fee Descriptio Claims in exces          |                         | 149                         | 740           | 249                  | 370           | For each additional invention to be examined (37 CFR § 1.129(b))                 |  |
| 102 84  | 202 42                      |   | aims in excess of 3     | 179                         | 740           | 270                  | 370           | Request for Continued Examination (RCE)  |  |
| 104 280   | 204 140                     | •                                       | lent claim, if not paid | 1/9                         | 740           | 279                  |               |  | <b>└</b> ─┤│                                     |
| 109 84  | 209 42                      |   | pendent claims over     | 169                         | 900           | 169                  | 900           | Request for expedited examination of a design application                        |  |
| 110 18  | 210 9                       |   | ns in excess of 20 and  | Other f                     | ee (speci     | fy)                  |               |  |  |
|   |                             | отогольны ра                            |                         | The Co                      | ommissio      | oner is a            | uthorize      | ed to charge any additional fees to  |  |
|   |                             | SUBTOTAL (2)                            | (\$)                    | the ab                      | ove note      | d Depos              | sit Accor     | unt.   |  |
|   |                             |   | -                       | *Redu                       | ced by B      | asic Filin           | g Fee Pa      | aid SUBTOTAL (3) (\$)85  |  |
| or number previo  | usiy pald, if greate        | er; For Reissues, see                   | SDOVE                   |                             |               |                      |               |  |  |

| SUBMITTED BY Complete (# applicable) |                    |                                     |        |           |                |
|--------------------------------------|--------------------|-------------------------------------|--------|-----------|----------------|
| Name (Print/Type)                    | Matthey, E. Hinsch | , Registration No. (Attorney/Agent) | 47,651 | Telephone | 415-576-0200   |
| Signature                            | ( )                | Hi                                  |        | Date      | April 17, 2002 |

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UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023.

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/041,845

10/18/2001

Fred Levine

02307O-110910US

20350

TOWNSEND AND TOWNSEND AND CREW, LLF TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834



**CONFIRMATION NO. 7736** 

FORMALITIES LETTER

\*OC00000007525336\*

Date Mailed: 02/25/2002

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

05/06/2002 BABRAHA1 00000081 201430 10041845

01 FC:205 65.00 CH

A copy of this notice MUST be returned with the reply.

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